

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Cereplast, Inc.
Application No./Patent No.: 7,138,439 Filed/Issue Date: November 21, 2006
Titled: BIODEGRADABLE COMPOUNDS INCLUDING POLY(LACTIC ACID) POLYMER COMPOSITIONS AND PRODUCTS
Cereplast, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Scheer, Frederic, et al. To: BioCorp North America, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 014851, Frame 0914, or for which a copy thereof is attached.
2. From: BioCorp North America, Inc. To: Nat-Ur, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 016058, Frame 0404, or for which a copy thereof is attached.
3. From: Nat-Ur, Inc. To: Cereplast, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 017831, Frame 0819, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
Signature
Kevin T. Okamoto
Printed or Typed Name

3/14/11
Date
SVP-R&D
Title